

## Corporate Profile

Ellington Financial LLC is a specialty finance company that specializes in acquiring and managing mortgage-related assets, including residential mortgage backed securities backed by prime jumbo, Alt-A, manufactured housing and subprime residential mortgage loans, residential mortgage-backed securities for which the principal and interest payments are guaranteed by a U.S. Government agency or a U.S. Government-sponsored enterprise, mortgage-related derivatives, commercial mortgage-backed securities, commercial mortgage loans and other commercial real estate debt, as well as corporate debt and equity securities and derivatives. Our primary objective is to generate attractive, risk-adjusted total returns for our shareholders.

### Primary IR Contact

Lisa Mumford  
Chief Financial Officer  
Ellington Financial LLC  
**Phone:** 203.409.3575  
**E-mail:**  
[info@ellingtonfinancial.com](mailto:info@ellingtonfinancial.com)

## Recent Headlines & Events

04/06/18 - 6:31 p.m.

[Ellington Financial LLC Reports Estimated Book Value Per Share as of March 31, 2018](#)

03/23/18 - 4:30 p.m.

[Ellington Financial LLC 2017 K-1 Tax Package Now Available](#)

03/07/18 - 5:45 p.m.

[Ellington Financial LLC Reports Estimated Book Value Per Share as of February 28, 2018](#)

02/15/18 - 4:20 p.m.

[Ellington Financial LLC Announces Annual Shareholders Meeting and Record Date](#)

02/13/18 - 4:20 p.m.

[Ellington Financial LLC Reports Fourth Quarter 2017 Results](#)

02/07/18 - 7:04 p.m.

[Ellington Financial LLC Reports Estimated Book Value Per Share as of January 31, 2018](#)

### Date

05/16/18 11:30  
a.m. ET

### Title

[Ellington Financial LLC Annual Shareholder Meeting](#)

**Location** The Hyatt Regency  
1800 East Putnam Avenue, Old  
Greenwich, CT 06870

## Management

**Laurence Penn**  
Chief Executive Officer and President

**Michael W. Vranos**  
Co-Chief Investment Officer

**Mark Tecotzky**  
Co-Chief Investment Officer

**JR Herlihy**  
Chief Financial Officer

**Daniel Margolis**  
General Counsel

**Jason Frank**  
Secretary and Corporate Counsel

**Chris Smernoff**  
Chief Accounting Officer

## Board of Directors

**Thomas F. Robards**  
Chairman

**Laurence Penn**

**Edward Resendez**

**Ronald I. Simon**

**Michael W. Vranos**

## SEC Filings

Filing Date	Form	Description
04/09/18	<a href="#">8-K</a>	Report of unscheduled material events or corporate event
04/02/18	<a href="#">3</a>	Initial filing by director officer or owner of more than ten percent.
04/02/18	<a href="#">3</a>	Initial filing by director officer or owner of more than ten percent.
04/02/18	<a href="#">8-K</a>	Report of unscheduled material events or corporate event

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Minimum 20 minutes delayed