

## Corporate Profile

Ellington Financial LLC is a specialty finance company that invests in a diverse array of financial assets, including residential and commercial mortgage-backed securities, residential and commercial mortgage loans, consumer loans and asset-backed securities backed by consumer loans, collateralized loan obligations, corporate equity and debt securities (including distressed debt), non-mortgage and mortgage-related derivatives, equity investments in mortgage-related entities, and other strategic investments. Ellington Financial LLC is externally managed and advised by Ellington Financial Management LLC, an affiliate of Ellington Management Group, L.L.C.

### Primary IR Contact

Investor Relations  
Ellington Financial LLC  
**Phone:** 203.409.3575  
**E-mail:**  
[info@ellingtonfinancial.com](mailto:info@ellingtonfinancial.com)

## Recent Headlines & Events

11/14/18 - 4:20 p.m.

[Ellington Financial LLC Completes \\$232.5 Million Residential Mortgage Loan Securitization](#)

11/07/18 - 7:02 p.m.

[Ellington Financial LLC Reports Estimated Book Value Per Share as of October 31, 2018](#)

11/07/18 - 4:25 p.m.

[Ellington Financial LLC Reports Third Quarter 2018 Results](#)

10/31/18 - 4:15 p.m.

[Ellington Financial LLC Announces Third Quarter Dividend of \\$0.41 Per Share](#)

10/26/18 - 7:00 a.m.

[Ellington Financial LLC Announces Release Date of Third Quarter 2018 Earnings, Conference Call, and Investor Presentation](#)

10/05/18 - 6:46 p.m.

[Ellington Financial LLC Reports Estimated Book Value Per Share as of September 30, 2018](#)

There are currently no events scheduled.

## Management

**Laurence Penn**  
Chief Executive Officer and President

**Michael W. Vranos**  
Co-Chief Investment Officer

**Mark Tecotzky**  
Co-Chief Investment Officer

**JR Herlihy**  
Chief Financial Officer

**Daniel Margolis**  
General Counsel

**Jason Frank**  
Secretary and Corporate Counsel

**Chris Smernoff**  
Chief Accounting Officer

## Board of Directors

**Thomas F. Robards**  
Chairman

**Lisa Mumford**

**Laurence Penn**

**Edward Resendez**

**Ronald I. Simon**

## SEC Filings

Filing Date	Form	Description
11/15/18	<a href="#">4</a>	Statement of changes in beneficial ownership of securities
11/14/18	<a href="#">4</a>	Statement of changes in beneficial ownership of securities
11/09/18	<a href="#">10-Q</a>	Quarterly report which provides a continuing view of a company's financial position
11/08/18	<a href="#">8-K</a>	Report of unscheduled material events or corporate event

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Minimum 20 minutes delayed